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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,508	06/29/2001	Zhan He	0120/1101.009

CONFIRMATION NO. 8116

## FORMALITIES LETTER



\*OC00000006449915\*

Richard L. Sampson  
SAMPSON & ASSOCIATES, P.C.  
50 Congress Street  
Boston, MA 02109

Date Mailed: 08/20/2001  
D-8/28/01

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

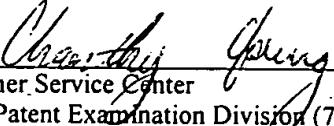
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/26/2002 BABRAHA1 00000005 501648 09896508

02 FC:205

65.00 CH

**Response To Notice To File Missing Parts Of Application**  
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.  
**REVEO-0120USAACN01**

In Re Application Of: **MAR 20 2002**

**HE, Zhan; and FARIS, Sadeq**

Serial No.  
**09/896,508**

Filing Date  
**06/29/2001**

Examiner  
**TBA**

Group Art Unit  
**2633**

Invention:

**SYSTEM AND METHOD FOR WAVELENGTH MODULATED FREE SPACE OPTICAL COMMUNICATION**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

The fee of **\$1,045.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 501648  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 501648  
A duplicate copy of this sheet is enclosed.



*Ralph J. Crispino*  
Signature

Dated: **March 20, 2002**

Ralph J. Crispino  
Reg. No. 46,144  
Reveo, Inc.  
85 Executive Blvd.  
Elmsford, NY 10523  
(914)345-9555

I certify that this document and fee is being deposited on **03-20-02** with the U.S. Postal Service as **Express Mail** under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Ralph J. Crispino*  
Signature of Person Mailing Correspondence

**RALPH J. CRISPINO**  
Typed or Printed Name of Person Mailing Correspondence

CC:

#4

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**REVEO-0120USAACN01**

In Re Application Of:

HE, Zhan; and FARIS, Sadeg

Serial No.  
**09/896,508**

*MAR 20 2001*

Filing Date  
**06/29/2001**

Examiner  
**TBA**

Group Art Unit  
**2633**

Invention:

**SYSTEM AND METHOD FOR WAVELENGTH MODULATED FREE SPACE OPTICAL COMMUNICATION**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

Completion of application fees as calculated below:

Utility application filing fee \_\_\_\_\_

Design application filing fee \_\_\_\_\_

Total number of independent claims = 3 \_\_\_\_\_

Total number of claims = 42 \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: October 20, 2001      Date until: March 20, 2002      Date

Total time extension fees \$980.00

Total fees due \$1,045.00

03-22-02

#4

MOP

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.

REVEO-0120USAACN01

In Re Application Of

MAR 20 2002

HE, Zhan; and FARIS

PATENT AND TRADEMARK OFFICE

Serial No.

09/896,508

Filing Date

06/29/2001

Examiner

TBA

Group Art Unit

2633

Invention:

**SYSTEM AND METHOD FOR WAVELENGTH MODULATED FREE SPACE OPTICAL COMMUNICATION****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

AUGUST 20, 2001Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

- 1 verified small entity declaration(s)

is/are attached.

was/were filed on June 29, 2001

A separate request for refund.

Other (list):

03/26/2002 BABRAHA1 0000005 501648 09896508

01 FC:228

980.00 CH